

Under the Paperwork Reduction Act of 1994, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.: 2003-0512A

First Named Inventor : Shunji KISHIMURA et al.

Title: LIGHT SOURCE FOR WHITE COLOR LED LIGHTING AND
WHITE COLOR LED LIGHTING DEVICE

Express Mail Label No.:

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. Fee Transmittal Form
(Submit an original, and a duplicate for fee processing)

2. Small Entity Status is hereby asserted.

3. Specification *(preferred arrangement set forth below)*
 - Descriptive title of the Invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to Sequence Listing, a table, or a computer program listing appendix.
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings *(if filed)*
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure

4. Drawing(s) *(35 USC 113)* *(Total Sheets: 5)*

5. Oath or Declaration *(Total Pages : 4)*
 a.1. Newly executed (original or copy)
 a.2. Unexecuted
 b. Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 18 completed)
 i. DELETION OF INVENTOR(S)
 Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).

6. Application Data Sheet (see 37 CFR 1.76)

Commissioner for Patents
ADDRESS TO: P.O. Box 1450
Alexandria, VA 22313-1450

7. CD-ROM or CD-R in duplicate, large table or computer program *(Appendix)*

8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
 a. Computer Readable Form
 b. Specification Sequence Listing on:
 i. CD-ROM or CD-R (2 copies); or
 ii. Paper
 c. The paper and computer readable copies are identical

03940 U.S. PTO
10/645700

08/22/03

ACCOMPANYING APPLICATION PARTS

9. Assignment Papers (cover sheet & document(s))
 10. 37 CFR 3.73(b) Statement *(when there is an assignee)*
 Power of Attorney
 11. English Translation Document *(if applicable)*
 12. Information Disclosure Statement (IDS)/PTO-1449
 Copies of IDS Citations
 13. Preliminary Amendment
 14. Return Receipt Postcard (MPEP 503) *(Should be specifically itemized)*
 15. Certified Copy of Priority Document(s)
(if foreign priority is claimed)
 16. Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i).
 Applicant must attach form PTO/SB/35 or its equivalent.
 17. Other Claim of Priority under 35 USC 119

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below, and in a preliminary amendment, or in an Application Data Sheet:
 Continuation Divisional Continuation-in-part (CIP) of prior application No.

Prior Application Information: Examiner Group Art Unit

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference therein. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

CUSTOMER NO.

000513

By:

W. Douglas Hahm
Registration No. 44,142WENDEROTH, LIND & PONACK, L.L.P.
2033 "K" Street, N.W., Suite 800
Washington, D.C. 20006-1021
Phone:(202) 721-8200
Fax:(202) 721-8250

August 22, 2003

THE COMMISSIONER IS AUTHORIZED
TO CHARGE ANY DEFICIENCY IN THE
FEES FOR THIS PAPER TO DEPOSIT
ACCOUNT NO. 23-0975



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of
Shunji KISHIMURA et al.

Serial No. NEW : Attn: APPLICATION BRANCH

Filed August 22, 2003 : Attorney Docket No. 2003-0512A

LIGHT SOURCE FOR WHITE COLOR LED LIGHTING AND WHITE COLOR LED LIGHTING DEVICE

THE CASH
TO C
FEE
ACCOUNT NO. 27433-0

PATENT OFFICE FEE TRANSMITTAL FORM

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Attached hereto is a check in the amount of \$790.00 to cover Patent Office fees relating to filing the following attached papers:

New application \$750.00

Assignment for Recordal \$40.00

A duplicate copy of this paper is being submitted for use in the Accounting Division, Office of Finance.

The Commissioner is authorized to charge any deficiency or to credit any overpayment associated with this communication to Deposit Account No. 23-0975, with the EXCEPTION of deficiencies in fees for multiple dependent claims in new applications.

Respectfully submitted,

Shunji KISHIMURA et al

Bv

W. Douglas Hahm
Registration No. 44,142
Attorney for Applicants

WDH/gtg
WENDEROTH, LIND & PONACK, L.L.P.
2033 K St., N.W., Suite 800
Washington, D.C. 20006-1021
Telephone (202) 721-8200
August 22, 2003

[Check No. 57261] 2003 0512A

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Shunji KISHIMURA et al. : Attn: APPLICATION BRANCH

Serial No. NEW : Attorney Docket No. 2003-0512A

Filed August 22, 2003

LIGHT SOURCE FOR WHITE COLOR LED
LIGHTING AND WHITE COLOR LED
LIGHTING DEVICE

COMMISSIONER IS AUTHORIZED
TO CHARGE ANY DEFICIENCY IN THE
FEE FOR THIS PAPER TO DEPOSIT
IN THE CUSTODY OF THE
COMMISSIONER OF PATENTS
U.S. PATENT AND TRADEMARK OFFICE
REGISTRATION NO. 23-0975

SUBMISSION OF FORMAL DRAWINGS

Commissioner for Patents

P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

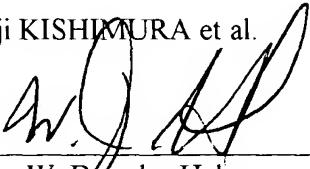
There are submitted herewith 2 sheets of revised A4P formal drawings showing Figs. 8 and 9.

Kindly substitute the attached formal drawings for those of record.

Respectfully submitted,

Shunji KISHIMURA et al.

By



W. Douglas Hahm
Registration No. 44,142
Attorney for Applicants

WDH/gtg
Washington, D.C. 20006-1021
Telephone (202) 721-8200
Facsimile (202) 721-8250
August 22, 2003